

With a known functional relationship between the  $X_{NM}$  and  $U_{LK}$ , one has the following linkage equations between the two-dimensional force and strain tensors:

$$\begin{aligned} x_{iK} &= \int_{b_-}^{b_+} (\partial U_{NM} / \partial u_{iK}) X_{NM} B_1 B_2 dt_3, \\ x_{33} &= \int_{b_-}^{b_+} (\partial U_{NM} / \partial u_{33}) X_{NM} B_1 B_2 dt_3, \\ z_{iK} &= \int_{b_-}^{b_+} (\partial U_{NM} / \partial w_{iK}) X_{NM} B_1 B_2 dt_3. \end{aligned} \quad (4.9)$$

Equations (4.1)-(4.9) form a closed system for the unknown functions  $u_N$ ,  $v_N$ ,  $u_{33}$ ,  $u_{NM}$ ,  $w_{NM}$ ,  $x_{NM}$ ,  $z_{NM}$  and their first-order partial derivatives. The bending tensor  $v_{NM}$  plays an auxiliary role in this system of abbreviated denotation for differential expression (4.2).

When the two-dimensional system of (4.1)-(4.9) has been solved, the three-dimensional parameters of the state of stress and strain in the shell are determined from the scheme presented in Sec. 3.

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#### EXISTENCE OF SOLUTIONS IN IDEAL HENCKE PLASTICITY

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The existence of a weak solution in the theory of ideal Hencke plasticity is obtained only in the particular case of the Mises flow condition and under the assumption of isotropy of the material [1]. The strain vector is here found from a space conjugate to  $L^\infty(\Omega)$ . The existence of a solution for an arbitrary flow condition and without the assumption of isotropy is proved in this paper. The displacement vector belongs to the space  $L^{3/2}(\Omega)$ .

The governing equations of the plasticity theory under consideration yield a representation of the total strains in the form of a sum of elastic and plastic components

$$\varepsilon_{ij}(u) = c_{ijkl} \sigma_{kl} + \xi_{ij}, \quad i, j = 1, 2, 3, \quad (1)$$

where the stresses do not exceed the yield point  $\Phi(\sigma) \leq 0$ , while the plastic strains  $\xi_{ij}$  satisfy the inequality [1-3]

$$\xi_{ij}(\tau_{ij} - \sigma_{ij}) \leq 0 \quad \forall \tau, \quad \Phi(\tau) \leq 0. \quad (2)$$

The equilibrium equations are satisfied in the domain  $\Omega \subset R^3$

$$-\sigma_{ij,j} = f_i, \quad i = 1, 2, 3. \quad (3)$$

On the boundary of the domain  $\Gamma$  the condition

$$u = 0 \quad (4)$$

is valid. Here  $f_i$  are given mass forces, and the function  $\Phi$  describes the flow condition. It is assumed that  $\Phi$  is continuous, convex, and the set  $\tilde{K} = \{\lambda \in R^6 | \Phi(\lambda) \leq 0\}$  contains zero as an inner point. The boundary  $\Gamma$  is considered smooth. All the quantities with two subscripts are symmetric  $\sigma = \{\sigma_{ij}\}$ ,  $\varepsilon_{ij}(u) = (1/2)(u_{i,j} + u_{j,i})$ ,  $u = (u_1, u_2, u_3)$ ,  $c_{ijkl} \in L^\infty(\Omega)$ ,  $\lambda = \{\lambda_{ij}\}$ , the tensor  $c_{ijkl}$  possesses the usual symmetry and positive-definiteness properties; summation is assumed to be over repeated subscripts. Also let  $K = \{\sigma \in L^2(\Omega) | \sigma(x) \in \tilde{K} \text{ almost everywhere in } \Omega\}$ . The follow result holds.

**THEOREM.** Let  $f_i \in L^2(\Omega)$ ,  $i = 1, 2, 3$  and let a solution  $\sigma^0$  of the system (3) exist such that for a certain constant  $\delta > 0$  the inclusion  $(\bar{1} + \delta)\sigma^0 \in K$  will be valid. Then there are functions  $\sigma$  and  $u$  satisfying (3) where

$$\begin{aligned} \sigma \in K: C(\sigma, \tau - \sigma) + \int_{\Omega} u_i (\tau_{ij,j} - \sigma_{ij,j}) dx \geq 0 \quad \forall \tau \in K \cap V, \\ \sigma_{ij,j} \in L^3(\Omega), \quad u \in L^{3/2}(\Omega). \end{aligned} \quad (5)$$

Here

$$C(\sigma, \tau) = \int_{\Omega} c_{ijkl} \sigma_{kl} \tau_{ij} dx, \quad V = \{\sigma \in L^2(\Omega) | \sigma_{ij,j} \in L^3(\Omega)\}.$$

The inequality (5) is the relations (1), (2), (4) written in a form corresponding to the existing regularity of the solution.

**Proof.** The scheme of the discussion is the following. First we examine the auxiliary problem with a penalty, and by using reciprocity methods we prove the existence of approximate solutions. We then obtain a priori estimates that are uniform in the penalty parameter  $\varepsilon > 0$ , and we then pass to the limit.

Let  $\pi$  be the projection operator in  $R^6$  with the customary Euclidean norm in the set  $\tilde{K}$ . We define a functional in the space  $L^2(\Omega)$  ( $\varepsilon > 0$  is fixed)

$$H(\sigma) = \frac{1}{2} C(\sigma, \sigma) + P(\sigma), \quad P(\sigma) = \frac{1}{2\varepsilon} \|\sigma - \pi\sigma\|_2^2$$

and the closed convex sets

$$A = \{\sigma \in L^2(\Omega) | \sigma_{ij,j} = f_i, i = 1, 2, 3\}, \quad A_0 = \{\sigma \in L^2(\Omega) | \sigma_{ij,j} = 0, i = 1, 2, 3\}.$$

Using the first Korn inequality in  $H_0^1(\Omega)$ , it can be proved that the set

$$W = \{e_{ij} | e_{ij} \in L^2(\Omega), e_{ij} = \varepsilon_{ij}(u), u = (u_1, u_2, u_3) \in H_0^1(\Omega)\}$$

is closed in  $L^2(\Omega)$ .

Furthermore, let us set

$$\begin{aligned} F(e) &= \begin{cases} -\langle f_i, u_i \rangle, & \text{if } e_{ij} \in W, \\ +\infty & \text{otherwise,} \end{cases} \quad e = \{e_{ij}\}, \\ G(e) &= \sup_{\tau \in L^2(\Omega)} \langle \tau, e \rangle - H(\tau), \quad \tau = \{\tau_{ij}\}, \end{aligned}$$

where  $\langle \cdot, \cdot \rangle$  is the scalar product in  $L^2(\Omega)$ . Because of the closedness of  $W$  the functional  $F$  is weakly semicontinuous from below.

Let us consider the problem

$$\inf_{e \in L^2(\Omega)} \{G(e) + F(e)\} = \inf_{u \in H_0^1(\Omega)} \left\{ \sup_{\tau \in L^2(\Omega)} [\langle \tau, \varepsilon(u) \rangle - H(\tau)] - \langle f_i, u_i \rangle \right\}. \quad (6)$$

We prove that this problem has a solution. Indeed, we have at the point  $\tau = \bar{\tau}$  where the exact upper bound is reached

$$\langle H'(\bar{\tau}), \sigma \rangle - \langle \varepsilon(u), \sigma \rangle = 0 \quad \forall \sigma \in L^2(\Omega).$$

Consequently

$$\varepsilon_{ij}(u) = c_{ijkl} \bar{\tau}_{kl} + P'(\bar{\tau})_{ij}, \quad P'(\bar{\tau})_{ij} = (1/\varepsilon)(\bar{\tau} - \pi\bar{\tau})_{ij}. \quad (7)$$

Here and above the prime denotes the derivatives of the appropriate functionals. We substitute the value obtained  $\varepsilon_{ij}(u)$  into the square bracket in (6) for  $\tau = \bar{\tau}$ . We obtain that the value of this bracket equals  $(1/2)C(\bar{\tau}, \bar{\tau}) - P(\bar{\tau}) + \langle P'(\bar{\tau}), \bar{\tau} \rangle$ . However, it follows from (7) [ $c$  is independent of  $u$ ,  $\bar{\tau}$ ,  $\|\cdot\|_p$  is the norm in  $L^p(\Omega)$ ]

$$\|u\|_{H_0^1(\Omega)} \leq c(\|\bar{\tau}\|_2 + \|P'(\bar{\tau})\|_2).$$

Moreover, from the convexity of the functional  $P$  we obtain that  $P(\bar{\tau}) \leq \langle P'(\bar{\tau}), \bar{\tau} \rangle$ . Therefore, the value of the braces in (6) tends to  $+\infty$  for  $\|u\|_{H_0^1(\Omega)} \rightarrow +\infty$  [we emphasize that  $\varepsilon$  is still fixed so that  $\|P'(\bar{\tau})\|_2 \leq c\|\bar{\tau}\|_2$ ]. The coercivity of the functional (6) is set. Hence, the existence of the solution  $u = u^\varepsilon \in H_0^1(\Omega)$  follows from the weak semicontinuity from below.

We now construct the problem conjugate to (6). We have  $G^*(\tau) = H(\tau)$  and

$$F^*(-\tau) = \sup_{e \in L^2(\Omega)} \{\langle \tau, e \rangle - F(e)\} = \sup_{u \in H_0^1(\Omega)} \{\langle \tau, \varepsilon(u) \rangle + \langle f_i, u_i \rangle\} = \psi_A(\tau).$$

Here  $\psi_A(\tau)$  is the display function of the set  $A$ , i.e., a function equal to zero in the set  $A$  and  $+\infty$  outside. Therefore, the following [4]

$$\inf_{\tau \in L^2(\Omega)} \{G^*(\tau) + F^*(-\tau)\} = \inf_{\tau \in A} H(\tau) \quad (8)$$

will be a problem reciprocal to (6) relative to the perturbation  $\Lambda(e, q) = G(e) + F(e - q)$ . This problem has a solution which is characterized by the inequality  $\sigma = \sigma^\varepsilon \in A : \langle H'(\sigma^\varepsilon), \tau - \sigma^\varepsilon \rangle \geq 0 \forall \tau \in A$ . We here substitute  $\tau = \sigma^\varepsilon \pm \tau_0$ ,  $\tau_0 \in A_0$ . We obtain

$$\langle H'(\sigma^\varepsilon), \tau_0 \rangle = 0 \quad \forall \tau_0 \in A_0. \quad (9)$$

The identity (9) will play a substantial part in obtaining a priori estimates of the solution.

The solutions  $\sigma^\varepsilon \in L^2(\Omega)$  and  $u^\varepsilon \in H_0^1(\Omega)$  of the conjugate problems (6) and (8) are related to the extremal relationships [4]

$$G(\varepsilon(u^\varepsilon)) + G^*(\sigma^\varepsilon) = \langle \varepsilon(u^\varepsilon), \sigma^\varepsilon \rangle.$$

Substituting the values of  $G$  and  $G^*$ , we find

$$H(\sigma^\varepsilon) - \langle \varepsilon(u^\varepsilon), \sigma^\varepsilon \rangle \leq H(\tau) - \langle \varepsilon(u^\varepsilon), \tau \rangle \quad \forall \tau \in L^2(\Omega).$$

It hence follows that

$$\varepsilon_{ij}(u^\varepsilon) = c_{ijkl} \sigma_{kl}^\varepsilon + P'(\sigma^\varepsilon)_{ij}. \quad (10)$$

We now obtain the a priori estimates of the solutions on the basis of (9) and (10). We substitute as  $\tau_0$  in the identity (9) the quantity  $\bar{\sigma} = \sigma^\varepsilon - \sigma^0$ ,  $\sigma^0$  is the solution of (3). We will have

$$C(\bar{\sigma}, \bar{\sigma}) + \langle P'(\sigma^\varepsilon), \bar{\sigma} \rangle = -C(\sigma^0, \bar{\sigma}).$$

Since  $\sigma^0 \in K$ , then  $\langle P'(\sigma^\varepsilon), \bar{\sigma} \rangle \geq 0$ , and this means that from this relationship there follows

$$\|\bar{\sigma}\|_2 \leq c, \quad \langle P'(\sigma^\varepsilon), \bar{\sigma} \rangle \leq c. \quad (11)$$

The constants  $c$  are here independent of  $\varepsilon$ .

Furthermore, it follows from the conditions of the theorem that  $(1+\delta)\sigma^0 \in K$ . Hence, there is a constant  $\delta_0$  dependent on  $\delta$  and  $\tilde{K}$  for which  $\text{dist}(\sigma^0(x), C\tilde{K}) \geq \delta_0$ ;  $C\tilde{K}$  is the supplement to the set  $\tilde{K}$ . We assume that for  $x \in \Omega$  the imbedding  $\sigma^\varepsilon(x) = \sigma^0(x) + \bar{\sigma}^\varepsilon(x) \in C\tilde{K}$  is valid. Then the hyperplane is  $\{\xi \in R^q | [\xi, l(x)] = b\}$ , where  $b$  is a certain number while

$$l(x) = P'(\sigma^\varepsilon)(x) | P'(\sigma^0)(x) |^{-1} \quad (12)$$

separates the sets  $\sigma^\varepsilon(x)$  and  $\tilde{K}$ . Here  $[\cdot, \cdot]$  denotes the scalar product corresponding to the Euclidean norm. Since  $\sigma^\varepsilon(x) \in C\tilde{K}$ ,  $\sigma^0(x) + \delta_0 l(x) \in \tilde{K}$ ,  $0 \in \tilde{K}$ , then the following inequalities hold:

$$[\sigma^0(x) + \bar{\sigma}^\varepsilon(x), l(x)] \geq b, [\sigma^0(x) + \delta_0 l(x), l(x)] \leq b.$$

We hence obtain  $[\bar{\sigma}^\varepsilon(x), l(x)] \geq \delta_0$ . Consequently, by multiplying (12) scalarly by  $\bar{\sigma}^\varepsilon$ , we will have

$$|P'(\sigma^\varepsilon)(x)| \leq \delta_0^{-1} [P'(\sigma^\varepsilon)(x), \bar{\sigma}^\varepsilon(x)].$$

This inequality is satisfied also in the case  $\sigma^\varepsilon(x) \in \tilde{K}$ . Integrating it over the domain  $\Omega$  and taking account of (11), we obtain

$$\|P'(\sigma^\varepsilon)\|_1 \leq c$$

with constant  $c$  independent of  $\varepsilon$ . By using this inequality, we obtain  $\|\varepsilon(u^\varepsilon)\|_1 \leq c$  from (10). It is proved in [5] that if  $\Omega \subset R^3$  is a domain with regular boundaries and  $\varphi = (\varphi_1, \varphi_2, \varphi_3) \in H_0^1(\Omega)$ , then there exists a constant  $c > 0$  independent of  $\varphi$  for which

$$\|\varphi\|_{3/2} \leq c \sum_{i,j=1}^3 \|\varepsilon_{ij}(\varphi)\|_1.$$

Therefore, the estimate

$$\|u^\varepsilon\|_{3/2} \leq c \tag{13}$$

with constant independent of  $\varepsilon$  is valid.

According to (11) and (13), it can be considered that there exists a subsequence denoted in the previous manner that possesses the property

$$\sigma^\varepsilon \rightarrow \sigma \text{ weakly in } L^2(\Omega), u^\varepsilon \rightarrow u \text{ weakly in } L^{3/2}(\Omega). \tag{14}$$

We multiply (10) by  $\tau_{ij} - \sigma_{ij}^\varepsilon$ ,  $\tau \in K \cap V$  and we obtain

$$C(\sigma^\varepsilon, \tau - \sigma^\varepsilon) + \int_{\Omega} u_i^\varepsilon (\tau_{ij,j} - \sigma_{ij,j}^\varepsilon) dx \geq 0.$$

Taking into account that  $\sigma_{ij,j}^\varepsilon = -f_i$  as well as the fact that  $\lim_{\varepsilon \rightarrow 0} C(\sigma^\varepsilon, \sigma^\varepsilon) \geq C(\sigma, \sigma)$ , we go over

here to the lower limit as  $\varepsilon \rightarrow 0$ , on the basis of (14). Consequently, we obtain the inequality (5). The imbedding  $\sigma \in K$  is proved by standard reasoning [1]. The theorem is proved completely.

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